### Parallel DBs

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### Sending Hints R<sub>k</sub> M<sub>B</sub> S<sub>i</sub> Strategy 3: Bloom Filters





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<1,A>
<2,B>
<2,C>
<3,D>
<4,E>

Send me rows with a 'B' in the bloom filter summarizing the set {2,3,6}

Node 2 <2,X> <3,Y> <6,Y>

### Sending Hints R<sub>k</sub> M<sub>B</sub> S<sub>i</sub> Strategy 3: Bloom Filters



### Bloom Filter Construction

#### Empty Filter (Size: m = 20)

Use hash functions to pick a fixed number of bits (k = 3)  $h_1(X) = 13; h_2(X) = 2; h_3(X) = 5$ 

#### Set those bits to 1

0010010000001000000

## Bloom Filter Lookup

- Key I
   00101010
   Filters are combined by Bitwise-OR

   Key 2
   01000110
   e.g. (Key 1 | Key 2)

   = 01101110
- Key 310000110How do we test for inclusion?
  - (Key & Filter) == Key?

(Key 1 & S) = 00101010  $\checkmark$ (Key 3 & S) = 00000110  $\times$ (Key 4 & S) = 01001100  $\checkmark$ False Positive

01001100

Key 4

## Bloom Filter Parameters

#### m = size of the bit vector

Bigger – More space used Smaller – More false positives

#### k = # of bits set per element

More Bits – More false positives Fewer Bits – More false positives (Need to balance #)

How do we pick M and K?

#### Probability that 1 bit is set by 1 hash fn

1/m

Probability that 1 bit is not set by 1 hash fn

1 - 1/m

Probability that 1 bit is not set by k hash fns

 $(1 - 1/m)^{k}$ 

### Probability that 1 bit is not set by k hash fns for n records

So for an arbitrary record, what is the probability that all of its bits will be set?

Probability that 1 bit is set by k hash fns for n records

$$1 - (1 - 1/m)^{kn}$$

Probability that all k bits are set by k hash fns for n records

$$\approx$$
 (1 - (1 - 1/m)<sup>kn</sup>)<sup>k</sup>  
≈ (1 - e<sup>-kn/m</sup>)<sup>k</sup>



Minimal P[collision] is at  $k \approx 0.7 \cdot m/n$ 



5 bits/record, 3 bits set = 10% chance of collision

## Parallelizing

#### **OLAP - Parallel Queries**

#### **OLTP - Parallel Updates**

## Parallelism Models

**Option 4:** "Shared Nothing" in which all communication is explicit.



We'll be talking about "shared nothing" for updates. Other models are easier to work with.

### Data Parallelism

#### Replication



#### Partitioning



(needed for safety)

## Updates

What can go wrong?

• Non-Serializable Schedules



What can go wrong?

• Non-Serializable Schedules



Node I



What can go wrong?

- Non-Serializable Schedules
- One Compute Node Fails



What can go wrong?

- Non-Serializable Schedules
- One Compute Node Fails
- A Communication Channel Fails
- Messages delivered out-of-order





What can go wrong?

- Non-Serializable Schedules
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Classical Xacts

"Partitions"

Consensus

### Data Parallelism

#### Replication



#### Partitioning



(needed for safety)

## Simple Consensus



#### "Safe" ... but Node 1 is a bottleneck.

## Simpl-ish Consensus



#### Node 2 agrees to Node 1's order for A. Node 1 agrees to Node 2's order for B.

![](_page_27_Picture_0.jpeg)

#### They're not!

## Failure Recovery

- Node Failure
  - The node restarts and resumes serving requests.
- Channel Failure
  - Node 1 and Node 2 regain connectivity.

![](_page_30_Figure_0.jpeg)

![](_page_31_Picture_0.jpeg)

![](_page_32_Picture_0.jpeg)

![](_page_33_Picture_0.jpeg)

![](_page_34_Picture_0.jpeg)

![](_page_35_Picture_0.jpeg)

![](_page_36_Picture_0.jpeg)

![](_page_37_Picture_0.jpeg)

![](_page_38_Picture_0.jpeg)

#### **INCONSISTENCY!**

![](_page_39_Picture_0.jpeg)

![](_page_40_Picture_0.jpeg)

![](_page_40_Picture_1.jpeg)

![](_page_41_Figure_0.jpeg)

![](_page_42_Figure_2.jpeg)

![](_page_43_Figure_2.jpeg)

![](_page_44_Figure_2.jpeg)

Option 2: Wait

# Node I I can't talk to Node 2 Let me wait! **A** = 2 **B** = 6

![](_page_46_Figure_2.jpeg)

#### **Option 1**: Assume Node Failure

All data is <u>available...</u> but at risk of in<u>consistency</u>.

**Option 2**: Assume Connection Failure

All data is <u>consistent...</u> but un<u>a</u>vailable

![](_page_48_Figure_0.jpeg)

#### Traditionally: Pick any 2

## Simpl-ish Consensus

![](_page_49_Figure_1.jpeg)

#### Node 2 agrees to Node 1's order for A. Node 1 agrees to Node 2's order for B.

## Simpl-ish Consensus

![](_page_50_Figure_1.jpeg)

What if we need to coordinate between A & B?

![](_page_51_Figure_1.jpeg)

Safe to Commit?

![](_page_52_Picture_0.jpeg)

#### That packet sure does look tasty...

![](_page_53_Figure_1.jpeg)

#### Is it safe to abort?

![](_page_54_Figure_1.jpeg)

#### What now?

![](_page_55_Figure_1.jpeg)

#### How do we know Node 2 even still exists?

- One site selected as a coordinator.
  - Initiates the 2-phase commit process.
- Remaining sites are subordinates.

- Only one coordinator per xact.
  - Different xacts may have different coordinators.

- Coordinator sends 'prepare' to each subordinate.
- When subordinate receives 'prepare', it makes a final decision: Commit or Abort.
  - The transaction is treated as if it committed for conflict detection.
  - The subordinate logs 'prepare', or 'abort'
  - The subordinate responds 'yes', or 'no'

- If coordinator receives 'no' from <u>any</u> subordinate, it tells subordinates to 'abort'.
  - Can treat timeouts as 'no's
- If coordinator receives 'yes' from <u>all</u> subordinates, it tells subordinates to 'commit'
- In both cases, the coordinator first logs the decision and forces the log to local storage.

- Subordinates perform abort or commit as appropriate (logging as in single-site ARIES)
- Subordinates 'ack'nowledge the coordinator.
- The transaction is complete once the coordinator receives all 'acks'.

# 2PC for Replication

- Optimization: We don't need 100% responses from replicas.
  - Replicas can be reconstructed from others.
  - Asserting 'preparedness' can be difficult.
- How much failure tolerance do we want?
  - We can tolerate N failures by waiting for N+I responses during the 'prepare' phase.

#### How do we recover from a (transient) <u>coordinator</u> crash in Phase I?

What information/communication state is lost? Can it be recovered? (Does it need to be?)

#### How do we recover from a (transient) <u>coordinator</u> crash in Phase 2?

What information/communication state is lost? Can it be recovered?

## How do we recover from a (transient) subordinate crash in Phase I?

What information/communication state is lost? Can it be recovered?

## How do we recover from a (transient) subordinate crash in Phase 2?

What information/communication state is lost? Can it be recovered?